



# St. Paul Institute of Professional Studies, Indore



An Autonomous Institute Affiliated to Devi Ahilya Vishwavidhyalaya, Indore  
Accredited by NAAC with 'A' Grade  
Phone No. 0731-2499911, 49661355, 4961356  
E-mail: [info@spipsindore.ac.in](mailto:info@spipsindore.ac.in), Website: [www.spipsindore.com](http://www.spipsindore.com)

## Semester IV

### Paper I E- 401 — Security Analysis Portfolio Management

#### Optional

Unit	Particulars
I	Investment: Concept, objectives and types, Investment and speculation, Factors of Sound Investment. Financial Markets: Meaning and Types, Investment opportunities available in India
II	<b>Concept of Return and Risk:</b> Sources and Types of Risk, Measurement of Risk, Concept of Portfolio Management, Portfolio selection, Markowitz, Model, Capital Assets Pricing Model.
III	<b>Fundamental Analysis:</b> Economic Analysis, Industry Analysis and Company Analysis, Technical Analysis: Dow Theory, Elliott wave Theory, Charting, Efficient Market Hypothesis
IV	<b>Stock Exchange in India:</b> B.S.E., N.S.E., O.T.C., Interconnected Stock, Exchange In India, Stock Indices and their computation, SEBI: Their Power and Functions.
V	<b>Emerging Trends in India Capital Market:</b> Depositories and Script less Trading, Book Building, Stock Lending Scheme, Rolling Settlement, Green Shoe Option, Responsibilities and code of conduct for portfolio manager.

#### Suggested Readings:

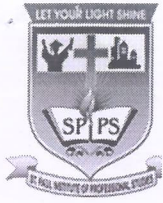
1. Investment Management R.P. Rastogi
2. Security Analysis and Portfolio Management P. Pandian
3. Security Analysis and Portfolio Management S. Guruswami
4. Security Analysis and Portfolio Management Jordon / Fischer

*[Handwritten signatures]*  
Gaki  
10/6/24

*[Handwritten signature]*  
Mudhavar  
10/6/24

*[Handwritten signature]*  
10/06/24  
*[Handwritten signature]*  
10/6/24

*[Handwritten signature]*



# St. Paul Institute of Professional Studies, Indore

An Autonomous Institute Affiliated to Devi Ahilya Vishwavidhyalaya, Indore

Accredited by NAAC with 'A' Grade

Phone No. 0731-2499911, 49661355, 4961356

E-mail: [info@spipsindore.ac.in](mailto:info@spipsindore.ac.in), Website: [www.spipsindore.com](http://www.spipsindore.com)



## Semester IV

### Paper II - E- 402 — Strategic Management

#### Optional

Unit	Particulars
I	Investment: Concept, objectives and types, Investment and speculation, Factors of Sound Investment. Financial Markets: Meaning and Types, Investment opportunities available in India
II	<b>Concept of Return and Risk:</b> Sources and Types of Risk, Measurement of Risk, Concept of Portfolio Management, Portfolio selection, Markowitz, Model, Capital Assets Pricing Model.
III	<b>Fundamental Analysis:</b> Economic Analysis, Industry Analysis and Company Analysis, Technical Analysis: Dow Theory, Elliott wave Theory, Charting, Efficient Market Hypothesis
IV	<b>Stock Exchange in India:</b> B.S.E., N.S.E., O.T.C., Interconnected Stock, Exchange In India, Stock Indices and their computation, SEBI: Their Power and Functions.
V	<b>Emerging Trends in India Capital Market:</b> Depositories and Script less Trading, Book Building, Stock Lending Scheme, Rolling Settlement, Green Shoe Option, Responsibilities and code of conduct for portfolio manager.

#### Suggested Readings:

1. Strategic Financial Management
2. Strategic Financial Management (Hindi)

G.P. Lakhotia  
N.P. Agarwal

*[Handwritten signatures and dates]*  
G.P. Lakhotia 10/6/24  
N.P. Agarwal 10/6/24  
M.S. 10/6/24  
M.S. 10/6/24  
M.S. 10/6/24  
M.S. 10/6/24



**St. Paul Institute of Professional Studies, Indore**  
An Autonomous Institute Affiliated to Devi Ahilya Vishwavidhyalaya, Indore  
Accredited by NAAC with 'A' Grade  
Phone No. 0731-2499911, 49661355, 4961356  
E-mail: [info@spipsindore.ac.in](mailto:info@spipsindore.ac.in), Website: [www.spipsindore.com](http://www.spipsindore.com)



**Semester IV**  
**Paper III - E- 403 Project Planning and Management**

**Optional**

Unit	Particulars
I	<b>Project</b> : Meaning, Characteristics and steps, Project Life Cycle, Causes of Project Failure. Role and qualities of a project Manager Classification of project, Project Identification, Sources of project ideas, Considerations for initial selection of projects.
II	<b>Project Formulation</b> : Pre-feasibility study, Project Feasibility Analysis: Market Analysis, Technical Analysis, Financial Analysis, Economic Analysis.
III	<b>Project Finance</b> : Direct Financial Assistance, Bridge Loans, Specific assistance scheme of Financial Institutions. Project Organization structure, Selection of project manager. Authority and Responsibilities of a project manager.
IV	<b>Project Implementation</b> : Pre-requisites for successful implementation. Project Management Systems; characteristics, Necessity, Project Management Information System.
V	<b>Project Audit</b> : Ex-post project evaluation, Human aspect of project management, Environment Appraisal of Projects (a brief review).

**Suggested Readings:**

1. N.P. Agarwal - Project Planning & Management
2. Prasanna Chand - Project Planning & Management
3. Choudhri S.C. - Project Planning & Management

*[Handwritten signatures and dates in blue ink:]*  
Gadhi  
Gaki 10/6/24  
Madhavi 10/6/24  
Deshpande 10/06/24  
S. D. J. 10/6/24  
M. S. G.



# St. Paul Institute of Professional Studies, Indore

An Autonomous Institute Affiliated to Devi Ahilya Vishwavidhyalaya, Indore

Accredited by NAAC with 'A' Grade

Phone No. 0731-2499911, 49661355, 4961356

E-mail: [info@spipsindore.ac.in](mailto:info@spipsindore.ac.in), Website: [www.spipsindore.com](http://www.spipsindore.com)



## Semester IV

### Paper IV- E- 404 - Indian Financial System

#### Optional

Unit	Particulars
I	<b>Introduction to Financial System</b> : Components, functions, Nature and Role, Relationship between financial system and Economic growth
II	<b>Money Market in India</b> : Meaning, functions, Development of Money Market in India, Money Market Instruments. Capital Market: Meaning, functions and reforms
III	<b>Depositories and Custodians</b> : Depository System NSDL, CSDL, Stock Holding Corporation of India. Derivatives Market, Concept, Benefits and Need. Types of Financial derivatives, Forward and future contracts, Options, Futures, Types and Benefits
IV	<b>Credit Rating</b> : Concept and significance, Credit Rating Agencies in India, Factoring and Forfeiting.
V	<b>Mutual Funds</b> : Introduction, History, Types, Organization, Regulation over Mutual Funds, Financial, Objectives of Financial system reforms.

#### Suggested Readings:

1. Indian Financial System- B. Pathak
2. Indian Financial System - Khan & Jain

*[Handwritten signatures and dates in blue ink:]*  
G. Pathak  
G. Pathak  
10/06/24  
M. Pathak  
10/06/24  
M. Pathak  
10/06/24  
M. Pathak  
10/06/24  
M. Pathak  
10/06/24